

Receipt
#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David R. Tushie et al.

Title: SYSTEM AND APPARATUS FOR SMART CARD PERSONALIZATION

Docket No.: 457.003US2

Filed: August 24, 1998

Examiner: Unknown

Serial No.: 09/139,163

Due Date: N/A

Group Art Unit: 2785

Assistant Commissioner for Patents
Washington, D.C. 20231

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GROUP 2700

We are transmitting herewith the attached:

☒ Communication Re: Incorrect Corrected Filing Receipt (1 pg.)

☒ Copy of Corrected Filing Receipt (1 pg.)

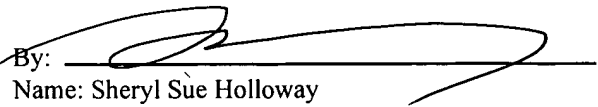
☒ A return postcard.

☒ A copy of the Preliminary Amendment (1 pg.)

No Additional fee is required.

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described above, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 8 day of December, 1998.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: 
Name: Sheryl Sue Holloway
Reg. No. 37,850
SSH:CMG:jaa

S/N 09/139,163



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David R. Tushie et al. Examiner: Unknown
Serial No.: 09/139,163 Group Art Unit: 2785
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COMMUNICATION RE: INCORRECT CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Applicants hereby request correction of the Corrected Filing Receipt with respect to the above-identified patent application. In the Corrected Filing Receipt received November 23, 1998, (copy enclosed), the Continuing Data is incorrect and should read, *THIS APPLN IS A CON OF ...* as evidenced by the Preliminary Amendment (copy enclosed).

Applicants would appreciate the above-identified printing error be corrected and that a new "corrected" filing receipt be sent to Applicants' representatives at the address given below.

Respectfully submitted,

DAVID R. TUSHIE ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
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Minneapolis, MN 55402
(612) 373-6939

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Date DEC. 8, 1998

By [Signature]

Sheryl Sue Holloway
Reg. No. 37,850
SSH:CMG:jaa

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231,

on 12-8-98

Date of Deposit

[Signature]
Sheryl Sue Holloway

FILING RECEIPT
CORRECTED



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/139,163	08/24/98	2785	\$395.00	457.003US2	18	8	2

021186
SCHWEGMAN LUNDBERG WOESSNER & KLUTH
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MINNEAPOLIS MN 55402

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

DAVID R. TUSHIE, EDEN PRAIRIE, MN; WILLIAM W. HAEUSER,
CHASKA, MN.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 08/755,459 11/22/96
PROVISIONAL APPLICATION NO. 60/015,743 04/15/96

FOREIGN FILING LICENSE GRANTED 09/04/98

* SMALL ENTITY *

TITLE

SYSTEM AND APPARATUS FOR SMART CARD PERSONALIZATION

PRELIMINARY CLASS: 395

COPY

Schwegman, Lundberg,
Woessner & Kluth, P.A.

NOV 23 1998

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David R. Tushie et al.

Serial No.: Unknown

Filed: Herewith

Docket: 457.003US2

Title: SYSTEM AND APPARATUS FOR SMART CARD PERSONALIZATION

PRELIMINARY AMENDMENT

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

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Please amend the above-identified patent application as follows:

IN THE SPECIFICATION

On page 1, line 3, in the heading, "Related Application", replace "Application" with --Applications--.

On page 1, line 4, before "non-provisional", insert --continuation of U.S. Patent Application No. 08/755,459, filed on November 22, 1996, which in turn is a--.

On page 1, line 5, after "April 15, 1996", insert --, both of which are incorporated herein by reference --.

IN THE CLAIMS

Please cancel claims 1-24 and add the following new claims:

25. [New] A method for issuing portable programmed data carriers comprising:
- acquiring personalization data for a cardholder and equipment characteristic data;
 - executing an external process specified by a card issuer; and
 - transferring the personalization data to personalization equipment as specified by the equipment characteristic data and the external process to issue the data carrier.

COPY